



1255 Imperial Avenue, Suite 1000  
San Diego, CA 92101-7490  
(619) 231-1466 • FAX (619) 234-3407

**SAN DIEGO METROPOLITAN TRANSIT SYSTEM (MTS) – DBE PROGRAM**  
**Information for MTS Bidder List**

Per the U.S. Department of Transportation's (DOT) DBE Regulation 49 CFR 26.11, MTS must obtain the following information about contractors and subcontractors whom bid on MTS federally-assisted contracts: company name; company address; company's status as a DBE or non-DBE; age of firm; and the annual gross receipts of the company. This information will be maintained in the MTS bidder list. The purpose for maintaining a bidder list is to derive data on the relative availability of DBEs in the local market. MTS may use this data in the future to help set overall DBE participation goals on MTS federally-assisted contracts.

**Instructions:** Any prime contractor or subcontractor interested in bidding on a MTS federally-assisted contract is requested to complete this form. Please submit this form to: San Diego Metropolitan Transit System, Staff Attorney – Regulatory Compliance, 1255 Imperial Avenue, Suite 1000, San Diego CA 92101 or email [Samantha.Leslie@sdmts.com](mailto:Samantha.Leslie@sdmts.com).

1) What is your company's name?

\_\_\_\_\_

2) What is your company's address?

\_\_\_\_\_  
\_\_\_\_\_

3) What type of work does your company perform (list NAICS Codes if known)? \_\_\_\_\_

\_\_\_\_\_

4) Is your company a certified DBE, MBE, WBE, DVBE, SB or MB? If yes, please check the applicable box and state the corresponding certification number.

☐ DBE \_\_\_\_\_ ☐ MBE \_\_\_\_\_ ☐ WBE \_\_\_\_\_ ☐ VBE \_\_\_\_\_ ☐ SB \_\_\_\_\_ ☐ MB \_\_\_\_\_

5) How many years has your company been in business? \_\_\_\_\_ years

6) What are the annual gross receipts of your company (please check the applicable bracket)?

\_\_\_\_\_ Less than \$1,000,000 \_\_\_\_\_ \$10,000,000 – \$15,000,000

\_\_\_\_\_ \$1,000,000 – \$5,000,000 \_\_\_\_\_ \$15,000,000 - \$23,980,000\*

\_\_\_\_\_ \$5,000,000 – \$10,000,000 \_\_\_\_\_ Greater than \$23,980,000

\* The DOT annually decides the amount of average annual gross receipts a firm must have to be eligible to become a DBE. Currently, if your company in the previous three fiscal years has had average annual gross receipts below \$23,980,000, your company may be eligible for DBE Certification. For your convenience, a quick guide to some of the main eligibility requirements for DBE certification can be found on Page 2.\*

7) Do you want MTS to provide your company additional guidance on how to become DBE certified? If yes, please list your contact information so we can contact you. \_\_\_\_\_

\_\_\_\_\_

--- FOR MTS USE ONLY ---

Date Received \_\_\_\_\_

DBELO \_\_\_\_\_



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## **INFORMATION ABOUT DBE CERTIFICATION**

**The California Unified Certification Program (CUCP) provides DBE Certification in California. In order to apply for certification as a Disadvantaged Business Enterprise (DBE), your firm must meet the eligibility criteria stated in 49 CFR Part 26. Below is a quick guide to some of the main eligibility requirements for DBE certification.**

### **SOCIAL AND ECONOMIC DISADVANTAGE**

The disadvantaged individual must be a U.S. citizen (or resident alien) and be a member of a socially and economically disadvantaged group, which include: **Black Americans; Hispanic Americans; Native Americans; Asian-Pacific Americans' Subcontinent Asian Americans; or Women.** Additionally, an individual not in the previously listed groups can demonstrate that they are socially and economically disadvantaged on a case-by-case basis.

### **PERSONAL NET WORTH**

The disadvantaged individual applying must have a personal net-worth (PNW) of less than **\$1,320,000**.

### **BUSINESS SIZE STANDARD**

The business must be a **small business**, as defined by the Small Business Administration. Depending on the primary business activity, a firm (including its affiliates) must not have average annual gross receipts over the firm's previous three fiscal years in excess of **\$23,980,000**.

### **OWNERSHIP**

The firm must be a for-profit small business where socially and economically disadvantaged DBE owner(s) own at least a **51 percent interest**, and have managerial and operational control of the business operations.

### **INDEPENDENCE**

**The business must not be affiliated with another firm in such a way as to compromise its independence and control.** These include, but are not limited to, such areas as personnel, facilities, equipment, financial and/or bonding support, and other resources.

### **MANAGEMENT AND CONTROL**

The socially and economically DBE owner(s) must possess the **power to direct or cause the direction** to the management and policies of the firm **and to make day-to-day, as well as long-term decisions** on matters of management, policy and operations.

**For further information on eligibility requirements or to apply for DBE Certification, please visit the CUCP website at the following link: <http://californiaucp.org/>.**